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U.S. PATENT APPLICATION NO. 09/981,331  
DOCKET NO. T6446.CIP2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Norris et al

CERTIFICATE OF DEPOSIT  
UNDER 37 C.F.R. § 1.8

SERIAL NO.: 09/981,331

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Assistant Commissioner of Patents, Washington, D.C. 20231.

FILED: October 16, 2001

*Steve M. Perry*  
Steve M. Perry

CONFIM. NO.:

1/15/01  
Date of Deposit

FOR: SONIC EMITTER WITH FOAM  
STATOR

ART UNIT: 2643

EXAMINER:

DOCKET NO.: T6446.CIP2

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to:

X 37 C.F.R. § 1.97 (b)(1) or (3), within three months of the filing date of the application, or before a first office action on the merits, whichever occurs last;

       37 C.F.R. § 1.97 (c), after a first office action on the merits, but before a Final

Assistant Commissioner of Patents

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Office Action or a Notice of Allowance, whichever occurs first, and is accompanied by either 1) a statement in accordance with 37 C.F.R. § 1.97(e), or 2) the fee set forth in § 1.17(p); or

37 C.F.R. § 1.97 (d), after a Final Office Action or Notice of Allowance, whichever occurs first, but on or before payment of the issue fee, and is accompanied by both 1) a statement in accordance with 37 C.F.R. § 1.97(e), and 2) the fee set forth in § 1.17(p).

While no representation is made that any of these references may be "prior art" within the meaning of that term in accordance with 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

For all listed references that are not either in the English language, or accompanied by a translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is enclosed attached to each.

Assistant Commissioner of Patents

Page 3

Please charge any additional fees or credit any overpayment to Deposit Account No. 20-0100.

DATED this 15<sup>th</sup> day of January 2002.

Respectfully submitted,

*Steve M. Perry*

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Attorney for Applicant  
Registration No. 45,357

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enc.

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(1939-1999)

RECEIVED  
FEB 01 2002  
Technology Center 2600

January 7, 2002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. §§ 1.56 and 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- Form PTO-1449 list of 45 references submitted for consideration.
- Legible copies of the listed references or their relevant portions.
- All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.

Commissioner of Patents  
and Trademarks  
Page 2

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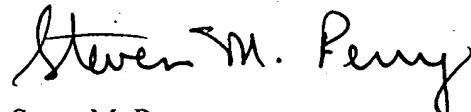
- Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
- Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

- Statement under 37 C.F.R. § 1.97(e)(1) or (2).
- Check No. \_\_\_\_ in the amount of \$ (amount in § 1.17(p)) constituting the submission fee set forth in 37 C.F.R. § 1.17(p).

In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Statement meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 20-0100 of the undersigned.

Respectfully submitted,



Steve M. Perry  
Attorney for Applicant  
Registration No. 45,357

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PTO-1449  <b>LIST OF PRIOR ART CITED BY APPLICANT</b>	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. T6446.CIP2		SERIAL NO. 09/981,331	
	APPLICANT Norris et al					
	FILING DATE October 16, 2001				GROUP 2643	
	<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	1,764,008	6/17/30	Crozier			
AB	1,799,053	3/31/31	Mache			
AC	1,809,754	6/9/31	Steedle			
AD	1,983,377	12/4/34	Kellogg			
AE	2,855,467	10/7/58	Curry			
AF	2,872,532	2/3/59	Buchmann et al			
AG	2,935,575	5/3/60	Bobb			
AH	2,975,243	3/14/61	Katella			
AI	2,975,307	3/14/61	Schroeder et al			
AJ	3,008,013	11/7/61	Williamson et al			
AK	3,136,867	6/9/64	Brettell			
AL	3,345,469	10/3/67	Rod			
AM	3,373,251	3/12/68	Seeler			
AN	3,389,226	6/18/68	Peabody			
AO	3,544,733	12/1/70	Reylek			
AP	3,654,403	4/4/72	Bobb, deceased			
AQ	3,674,946	7/4/72	Winey			
AR	3,787,642	1/22/74	Young Jr.			
<b>FOREIGN PATENT DOCUMENT</b>						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AS						
AT						
AU						
AV						
AW						
<b>OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)</b>						
	AX	Crandall, I.B. "Air-Damped Vibrating System: Theoretical Calibration of the Condenser Transmitter" - PHYS. REV., Vol 11 (1918) Pages 449-460				
	AY	Wagner, Ronald "Electrostatic Loudspeaker Design and Construction", Audio Amateur Press Publishers, 1993				
EXAMINER				DATE CONSIDERED		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. T6446.CIP2		SERIAL NO. 09/981,331	
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EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,821,490	6/28/74	Bobb			
	AB	3,829,623	8/13/74	Willis et al			
	AC	3,833,771	9/3/74	Collinson			
	AD	3,892,927	7/1/75	Lindenberg			
	AE	3,919,499	11/11/75	Winey			
	AF	3,941,946	3/2/76	Kawakami et al			
	AG	3,997,739	12/14/76	Kishikawa et al			
	AH	4,160,882	7/10/79	Driver			
	AI	4,210,786	7/1/80	Winey			
	AJ	4,289,936	9/15/81	Civitello			
	AK	4,385,210	5/24/83	Marquiss			
	AL	4,419,545	12/6/83	Kuindersma			
	AM	4,434,327	2/28/84	Busch-Vishniac et al			
	AN	4,471,172	9/11/84	Winey			
	AO	4,480,155	10/30/84	Winey			
	AP	4,550,228	10/29/85	Walker et al			
	AQ	4,593,160	6/3/86	Nakamura			
	AR	4,803,733	2/7/89	Carver et al			
FOREIGN PATENT DOCUMENT							
					CLASS	SUBCLASS	TRANSLATION YES NO
	AS						
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OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)							
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EXAMINER					DATE CONSIDERED		
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U.S. PATENT DOCUMENTS							
EXAMINER INITIALS		DOCUMENT NUMBER	TRADEMARK DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,885,781	12/5/89	Seidel			
	AB	4,939,784	7/3/90	Bruney			
	AC	5,054,081	10/1/91	West			
	AD	5,392,358	2/21/95	Driver			
	AE	5,430,805	7/4/95	Stevenson, et al			
	AF	6,011,855	1/4/00	Selfridge et al			
	AG	6,304,662	10/16/01	Norris et al			
	AH						
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
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